

# ONESCO supports your financial professional

## Your independent financial professional

Your financial representative is an independent professional who provides financial solutions and guidance to people and families throughout his or her own community. In order to provide you with the service you expect, and because the securities and investment industry is highly regulated, your financial professional must be registered with a broker dealer or investment advisor. The broker dealer fulfills an important role in that it provides access to investment products and processes investment transactions on your representative's behalf.

## The role of the broker/dealer

Your financial professional has chosen to affiliate with The O.N. Equity Sales Company (ONESCO), a retail broker dealer headquartered in Cincinnati, Ohio. ONESCO provides the infrastructure necessary to help deliver the best possible service to you.

Founded in 1968, ONESCO is a full-service broker dealer designed for the independent financial professional. The firm is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). ONESCO is registered in all 50 states, plus the District of Columbia and Puerto Rico, and supports a nationwide network of representatives, with client assets of approximately \$11 billion dollars.<sup>1</sup>

ONESCO is a wholly-owned subsidiary of The Ohio National Life Insurance Company, and is proud to be part of the Ohio National Financial Services family of companies. Since 1909, Ohio National has been helping to solve the financial challenges of families and businesses. With more than \$39 billion<sup>1</sup> in assets under management, Ohio National has strong and consistent industry ratings, based on solid capitalization, and sound investment and risk management principles.

ONESCO's mission is to support independent registered representatives by providing them access to the products, resources, and assistance they need to serve their clients. ONESCO makes available a complete lineup of financial products and services including:

- Stocks
- Bonds
- Mutual funds
- Annuities & insurance
- Unit Investment Trusts
- Group retirement plans
- 529 college savings plans
- Direct Participation Programs
- Financial planning
- Managed accounts

ONESCO is a fully disclosed introducing broker dealer. As such, ONESCO facilitates securities transactions, but does not take custody of client assets or maintain client accounts.

Brokerage account assets with ONESCO are custodied by Pershing LLC, member FINRA/NYSE/SIPC, which is a subsidiary of The Bank of New York Mellon Corporation. More information on Pershing may be obtained by visiting [pershing.com](http://pershing.com), and additional details regarding SIPC coverage for investment accounts can be found at [SIPC.com](http://SIPC.com).

*ONESCO stands ready to support your independent financial professional in delivering great service to you.*



## Investment advisory services

ONESCO's investment advisory subsidiary, O.N. Investment Management Company (ONIMCO), was established in 1969. ONIMCO is registered with the Securities and Exchange Commission, and provides investment management services, financial advice, and financial planning through investment advisors.

## A winning team

Your ONESCO financial professional is a fully independent business person, and he or she operates without being pressured to sell proprietary products or meet specific sales goals.

Your primary point of contact for all of your needs related to your investment accounts is your financial professional. Together with ONESCO, ONIMCO, Pershing LLC, and the investment companies you may choose, your representative is equipped with the tools and resources necessary to guide you through all of your financial needs.

ONESCO stands ready to support your independent financial professional in delivering great service to you.

## Valuing local and independent



When you think of a local independent business, you often envision an individual or organization that is unique and very personal in its approach. These businesses are free to create their own value proposition, perfectly suited to clients they choose to serve. They understand your community and nurture relationships with people and neighbors they see every day. And, they work hard to build their own identity and reputation to be successful. The same is true of your independent financial professional.

<sup>1</sup> Through 12-31-19

Securities are offered through The O.N. Equity Sales Company (ONESCO), Member FINRA/SIPC.

Investment Advisory Services are offered through O.N. Investment Management Company (ONIMCO). ONIMCO is a subsidiary of The O.N. Equity Sales Company, the registered broker dealer affiliated with Ohio National Financial Services.

Neither ONIMCO/ONESCO nor its representatives render legal, accounting, or tax advice.

**The O.N. Equity Sales Company** Member FINRA/SIPC  
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**Ohio National  
Financial Services**  
*Life changes. We'll be there.*